

Attorney Docket No: U017 (BPAY.0010010)

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Re application of Robinson et al.

Serial No.: 10/829,448

Examiner: Pierre Elisca

Confirmation No.: 6320

Art Unit: 3621

Filed: 04/22/2004

For: A SYSTEM AND METHOD FOR BIOIMETICALLY-INITIATED REFUND  
TRANSACTIONS

Commissioner for Patents  
Alexandria, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT  
UNDER 37 C.F.R. §1.97(c)**

In accordance with the duty of disclosure set forth in 37 C.F.R. §1.56, Applicant(s) hereby submits the following information in conformance with 37 C.F.R. §1.97 and 1.98.

- ☐ Pursuant to 37 C.F.R. §1.98, a copy of each document cited in the attached Form PTO/SB/08A is enclosed.
- ☒ Pursuant to the U.S. Patent and Trademark Office's waiver of 37 C.F.R. §1.98(a)(2)(i) for applications filed after June 30, 2003, no copies of U.S. patents and patent applications cited in the attached Form PTO/SB/08 are being provided.
- ☒ No copies of publications B1 and C1-C3 listed on the attached Form PTO/SB/08A are being provided pursuant to 37 C.F.R. §1.98(d) because the publications were previously cited by or submitted to the Office in prior Application Serial No. 10/251,305, filed September 20, 2002 to which the above-identified application claims priority under 35 U.S.C. §120.
- ☐ Publication(s) \_\_\_ listed on the attached Form PTO/SB/08A were cited in a foreign search or examination report corresponding to \_\_\_ application serial no. \_\_\_ and mailed on \_\_\_.
- ☐ Enclosed is a copy of a non-English publication(s) \_\_\_. Pursuant to §609 of the M.P.E.P., Applicant submits the attached foreign search or examination report, which cites such non-English language publication(s).

- [X] The Examiner's attention is directed to co-pending patent Application Serial No. 11/141,033, filed June 1, 2005, which is directed to related technical subject matter. The identification of this U.S. Patent Application is not to be construed as a waiver of secrecy as to that application now or upon issuance of the present application as a patent. The Examiner is respectfully requested to consider the cited application and the art cited therein during examination of the present application..

This Information Disclosure Statement is filed after the period specified in 37 C.F.R. § 1.97(b), but before the mailing of a final action under 37 C.F.R. §1.113; a notice of allowance under 37 C.F.R. §1.311; or an action that otherwise closes prosecution in this application.

In accordance with 37 C.F.R. §1.97(c) also enclosed is:

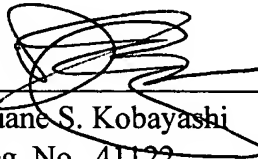
- [X] Fee under 37 C.F.R. §1.17(p) in the amount of \$180.00; or
- ☐ Statement as specified in 37 C.F.R. §1.97(e):
- ☐ Each item of information contained in the Information Disclosure Statement cited herein was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing date of the Information Disclosure Statement; or
- ☐ No item of information contained in the Information Disclosure Statement submitted herewith was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned, having made a reasonable inquiry, no item of information contained in the Information Disclosure Statement was known to any individual designated in 37 C.F.R. §1.56(c) more than three months prior to the filing date of the Information Disclosure Statement.

It is respectfully requested that the Examiner consider the above-noted information and return an initialed copy of the attached Form PTO/SB/08A to the undersigned.

Respectfully submitted,

Dated: 7/25/05

By:

  
Duane S. Kobayashi  
Reg. No. 41122

Law Office of Duane S. Kobayashi  
1325 Murray Downs Way  
Reston, VA 20194  
Tel: 703-464-7902  
Fax: 703-935-0276

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

**Complete if Known**

<b>Application Number</b>	10/829,448
---------------------------	------------

<b>Filing Date</b>	04/22/2004
--------------------	------------

<b>First Named Inventor</b>	ROBINSON
-----------------------------	----------

Group Art Unit	3621
----------------	------

Examiner Name	Pierre Elisca
---------------	---------------

Attorney Docket Number	U017 (BPAY.0010010)
------------------------	---------------------

Sheet	1	of	2
-------	---	----	---

[illegible]

FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-YYYY	T <sup>6</sup>
		Office Code <sup>3</sup>	Number <sup>4</sup>	Kind (if known)			
	B1	EP	0598469	A2	Dunlevy	05-1994	

Examiner  
SignatureDate  
Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Unique citation designation number.

<sup>2</sup> See attached Kinds of U.S. Patent Documents.

<sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3).

<sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.



plus sign (+) inside the box

**EXPIRATION DATE**  
JUL 25 2005

**FORM 1449B/PT**  
PATENT & TRADEMARK OFFICE

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS

Examiner  
Signature

Date  
Considered

<sup>8</sup> Applicant is to place a check mark here if English language Translation attached